



**Shomesh Kumar (RIA)**  
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## **INVESTORS' COMPLAINTS**

**Data for the month ending November 2025**

| <b>Sr. No.</b> | <b>Received from</b>           | <b>Pending at the end of the month</b> | <b>Received</b> | <b>Resolved*</b> | <b>Total Pending#</b> | <b>Pending Complaints &gt; 3 Months</b> | <b>Average Resolution time^ (in days)</b> |
|----------------|--------------------------------|--|-----------------|------------------|-----------------------|---|---|
| <b>1</b>       | <b>Directly from investors</b> | <b>0</b>                               | <b>0</b>        | <b>0</b>         | <b>0</b>              | <b>0</b>                                | <b>0</b>                                  |
| <b>2</b>       | <b>SEBI Scores</b>             | <b>0</b>                               | <b>0</b>        | <b>0</b>         | <b>0</b>              | <b>0</b>                                | <b>0</b>                                  |
| <b>3</b>       | <b>Other Sources</b>           | <b>0</b>                               | <b>0</b>        | <b>0</b>         | <b>0</b>              | <b>0</b>                                | <b>0</b>                                  |
|                | <b>Grand Total</b>             | <b>0</b>                               | <b>0</b>        | <b>0</b>         | <b>0</b>              | <b>0</b>                                | <b>0</b>                                  |

^ Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.



#### Trend of monthly disposal of complaints

| Sr. No. | Month          | Carried forward from month | Received | Resolved* | Pending# |
|---------|----------------|----------------------------|----------|-----------|----------|
| 1       | April 2025     | 0                          | 0        | 0         | 0        |
| 2       | May 2025       | 0                          | 0        | 0         | 0        |
| 3       | June 2025      | 0                          | 0        | 0         | 0        |
| 4       | July 2025      | 0                          | 0        | 0         | 0        |
| 5       | August 2025    | 0                          | 0        | 0         | 0        |
| 6       | September 2025 | 0                          | 0        | 0         | 0        |
| 7       | October 2025   | 0                          | 0        | 0         | 0        |
| 8       | November 2025  | 0                          | 0        | 0         | 0        |
| 9       | December 2025  |                            |          |           |          |
| 10      | January 2026   |                            |          |           |          |
| 11      | February 2026  |                            |          |           |          |
| 12      | March 2026     |                            |          |           |          |
|         | Grand Total    | 0                          | 0        | 0         | 0        |

\*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

#### Trend of annual disposal of complaints

| Sr. No. | Year    | Carried forward from previous year | Received | Resolved* | Pending# |
|---------|---------|------------------------------------|----------|-----------|----------|
| 1       | 2020-21 | 0                                  | 0        | 0         | 0        |
| 2       | 2021-22 | 0                                  | 0        | 0         | 0        |
| 3       | 2022-23 | 0                                  | 0        | 0         | 0        |
| 4       | 2023-24 | 0                                  | 0        | 0         | 0        |
| 5       | 2024-25 | 0                                  | 0        | 0         | 0        |
| 6       | 2025-26 | 0                                  | 0        | 0         | 0        |